# COUNTY OF HUDSON STATE OF NEW JERSEY

# PROFESSIONAL SERVICES SOLICITATION FAIR & OPEN PUBLIC SOLICITATION PROCESS

PROFESSIONAL SERVICE: <u>SEE</u>: **PROFESSIONAL SERVICES SOLICITED** 

**SUBMISSION DATE: February 18, 2015** 

#### PUBLIC NOTICE TO PROFESSIONAL ENTITIES

INFORMATION FOR PROFESSIONAL SERVICES ENTITIES

MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE (EXHIBIT A)

BUSINESS REGISTRATION OF CONTRACTORS WITH GOVERNMENTAL AGENCIES

STANDARDIZED SUBMISSION REQUIREMENTS

**CHECK LIST** 

**SUBMISSION DOCUMENTS** 

# PUBLIC NOTICE FOR THE SOLICITATION OF PROFESSIONAL SERVICE CONTRACTS FOR THE PERIOD OF MARCH 1, 2015 THROUGH DECEMBER 31, 2015

**NOTICE IS HEREBY GIVEN** that sealed submissions will be received by the Town Administrator, or designated representative, for the Town of Secaucus, County of Hudson, State of New Jersey on Wednesday, February 18, 2015, 11:30 A.M. prevailing time, in Council Chambers I, Municipal Government Center, 1203 Paterson Plank Road, Secaucus, New Jersey 07094, then publicly opened and read aloud.

Standardized submission requirements and selection criteria are on file and available in the Town Clerk's Office.

Submission packages may be obtained at the Purchasing Office, (201) 330-2025, during regular business hours, 9:00 A.M. to 4:00 P.M., Monday through Friday, excluding holidays.

All professional service contractors are required to comply with the requirements of N.J.S.A. 52:32-44 (Business Registration of Public Contractors), N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq. (Contract compliance and Equal Employment Opportunities in Public Contracts). Additionally all professional service contractors are required to comply with the requirements of the Town of Secaucus' Pay to Play Ordinance (No. 2009-12)(Code of the Town of Secaucus, Chapter 26).

Submissions by Corporations and Partnerships shall include a completed Disclosure of Ownership form (N.J.S.A. 52:25-24.2) and shall include a completed Non-Collusion Affidavit.

The Mayor and Council reserves the right to reject any or all submissions due to any defects or waive informalities and accept any submissions that in their judgment will be in the best interest of the Town. The Mayor and Council shall award the contract or reject all submissions no later than 60 days from receipt of the same.

By authorization of the Mayor and Council of the Town of Secaucus, Hudson County, New Jersey.

|        | Michael Marra, Town Clerk |
|--------|---------------------------|
| Dated: |                           |

#### PROFESSIONAL SERVICES SOLICITED

#### 1A.1 TOWN SURVEYOR

The Town Surveyor shall be a New Jersey licensed Professional Land Surveyor and shall provide the Town with land and construction surveying services as requested.

#### 1A.2 RISK MANAGEMENT CONSULTANT

The Risk Management Consultant shall be licensed by the State of New Jersey Department of Insurance and shall act as the consultant to the Town in securing various insurance services to provide maximum protection at minimum cost. The Risk Management Consultant shall work with the Joint Insurance Funds and Municipal Excess Liability Fund to which the Town belongs to maximize the Town's benefits therefrom.

#### 1A.3 PUBLIC DEFENDER

The Assistant Town Attorney shall be an attorney at law of New Jersey, but need not be a resident of the Town. The Public Defender shall act as counsel for those defendants appearing in Municipal Court who are determined to be indigent in accordance with the rules and standards established by the Court.

#### 1A.4 TOWN ARCHITECT

The Town Architect shall be a New Jersey licensed architect and shall provide architectural and construction services to the Town on a project by project basis as assigned by the Mayor and/or Administrator.

#### TOWN OF SECAUCUS

# INFORMATION FOR PROFESSIONAL SERVICES ENTITIES (FAIR & OPEN PUBLIC SOLICITATION PROCESS)

#### 1B.1 RECEIPT AND OPENING OF SUBMISSIONS

#### 1B.1.1 OWNER AND PROJECT

The Town of Secaucus, Hudson County, New Jersey (hereinafter called the "OWNER") invites submissions for the service(s) mentioned in the Public Notice for Solicitation.

#### 1B.1.2 TIME AND PLACE OF SUBMISSION OPENINGS

Town Administrator and/or his designated representative will receive submissions at the time and place mentioned in the Public Notice for Solicitation, and at such time and place will be publicly opened and read aloud.

#### 1B.1.3 SUBMISSIONS NOT IN COMPLIANCE

The OWNER may waive any informality or reject any and/or all submissions, in accordance with the *Fair and Open Public Solicitation Process for Professional Service(s)* as set forth in N.J.S.A. 19:44A-20.4 et seq.

#### 1B1.4 WITHDRAWING SUBMISSIONS

Submissions forwarded to the Town Administrator and/or his designated representative before the time of opening of submissions may be withdrawn upon written application of the professional services entity who shall be required to produce evidence showing that they are or they represent the principal or principals involved in the submission. Submission may <u>not</u> be withdrawn within twenty-four (24) hours of the stipulated time of opening of submissions. Once Submissions have been opened, they must remain firm for a period of sixty (60) days.

#### 1B.2 QUALIFICATIONS OF PROFESSIONAL SERVICES ENTITIES

#### 1.B.2.1 INDIVIDUALS PERFORMING TASKS

Name and roles of the individuals who will perform the tasks and descriptions of their education and experience similar to the services contained herein.

#### 1.B.2.2 PAST PERFORMANCE

Documented past performance of same and/or similar service.

#### 1.B.2.3 REFERENCES

References and record of success of same or similar service.

#### 1B.2.4 DESCRIPTION OF ABILITIES

Description of ability to provide the services in a timely fashion (including staffing, familiarity and location of key staff).

#### 1B.2.5 COST DETAILS

If applicable, cost details including the hourly rates of each of the individuals who will be performing services, and all expenses.

#### 1B.2.6 TECHNICAL PROCESS AND EQUIPMENT

Description of technical process and equipment used in performing the task(s).

#### 1B.3 PREPARATION OF SUBMISSIONS

#### 1B.3.1 COMPLETION OF SUBMISSIONS

Each submission must be provided on a Standardized Submission Form as supplied in the submission package, and signed by the professional services entity or principal thereof and shall contain the name, address, and telephone number of the professionals Services entity. All prices and amounts must be written in ink or preferably typewritten. Each signatory to the submission must initial all erasures or corrections. Each submission shall be contained in a sealed envelope addressed to the Town of Secaucus Purchasing Department, 1203 Paterson Plank Road, Secaucus, New Jersey 07094 and shall specify the Appointment No. and Title for which the submission is provided. The submission is to be clearly marked "Sealed Submission Enclosed" and must be delivered at the place and time required or mailed so as to be received prior to the opening time set in the advertisement. Submissions received after the hour herein named or in unsealed envelopes shall not be considered.

The Owner will not be responsible for submissions forwarded through the U.S. Mail or any delivery service if lot in transit at any time before submission opening, or if hand-delivered to incorrect location.

The submission shall be accompanied by (1) a Non-Collusion Affidavit, (2) a Disclosure of Ownership Form, (3) an Insurance Requirement Acknowledgment Form, (4) a Mandatory Equal Employment Opportunity Notice Acknowledgment, (5) a copy of the applicable Business Registration Certificate, (6) a Professional Services Entity Information Form, (7) a Qualifications Submission, (8) an Acknowledgment of Corrections, Additions and Deletions Form, (9) Local Pay-to-Play compliance form, (10) Disclosure of Investment Activities in Iran and (11) W-9 Form (optional).

All forms listed above, (#1 through #10) shall be completed in their entirety.

#### 1B.3.2 ERRORS IN SUBMISSIONS

If applicable, in the event there is a discrepancy between the unit prices and the extended totals, the unit prices shall govern or if between the correct sum of the extended totals and the total submission submitted, the correct sum shall govern. Amounts written in words shall govern over the amounts written in numerals.

# 1B.4 TIME FOR AWARD OF CONTRACT

The OWNER shall award the contract or reject all submissions within such time as may be specified in the invitation for submission, but in no case more than 60 days, except that the submissions of any professional services entities who consent thereto may, at the request of the

OWNER, be held for consideration for such longer period as may be agreed.

The award of the Contract for this service will not be made unless the Town Chief Financial Officer has certified the necessary funds in a lawful manner.

#### 1B.5 MODIFICATIONS OF SUBMISSIONS

Any professional services entity may modify his submission by mail, courier or hand delivery at any time prior to the scheduled closing time for receipt of submissions. The OWNER, prior to the closing time, must receive such communication. The communication should not reveal the submission price but should provide the addition to or subtraction form or other modification so that the OWNER will not know the final price(s) or term(s) until the sealed submission is opened.

#### 1B.6 REJECTION OF SUBMISSIONS

#### 1B.6.1 MULTIPLE SUBMISSIONS NOT ALLOWED

More than one submission for each distinct professional service from an individual, a firm or partnership, a corporation or association of principals under the same or different names shall not be considered

#### 1B.6.2 UNBALANCED SUBMISSIONS

Submissions, which are obviously unbalanced, may be rejected at the option of the OWNER.

#### 1B.6.3 RIGHT TO REJECT SUBMISSIONS

The right is reserved to reject any and all submissions in whole or in part if not in compliance with the standardized submission requirements.

#### 1B.6.4 METHOD OF AWARD OF SUBMISSIONS

The right is reserved by the Town of Secaucus to award submissions on a "service by service" basis, "per project" basis, in part or in whole as determined by the Owner.

#### 1B.6.5 RIGHT TO WAIVE INFORMALITIES RESERVED

The Owner expressly reserves the right to waive any informality in any submission, or to accept the submission, which is the OWNER'S judgment serves its best interests.

#### 1B.7 PROFESSIONAL SERVICES ENTITY REFERRED TO LAWS

The attention of the professional services entity is especially directed to the provisions of Federal, State, County and Local Government statutes and regulations that may apply to the work.

#### IB.8 PAYMENT

Checks are processed by the Town of Secaucus's Finance Department approximately on the 30<sup>th</sup> day of each month. It is necessary that the approved signed vouchers be accompanied by an invoice and be submitted in advance of these dates.

#### 1B.9 TRANSITIONAL PERIOD

In the event that a new contract has not been awarded prior to the contract expiration date, it shall be incumbent upon the professional services entity to continue the contract under the same terms and conditions until a new contract(s) can be completely operational. At no time shall this transition period extend more than ninety (90) days beyond the expiration date of the contract.

#### 1B.10 FACSIMILE DOCUMENTS PROVIDED IN A SUBMISSION

Under no circumstances, on submission documents requiring authorized signatures, will the OWNER accept documents provided through facsimile machines.

# <u>1B.11</u> <u>CONTRACT</u> <u>COMPLIANCE</u> <u>AND</u> <u>EQUAL</u> <u>EMPLOYMENT</u> OPPORTUNITY IN PUBLIC CONTRACTS.

Professional services entities are required to comply with the requirements of N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq.

#### 1B.12 GENERAL REQUIREMENTS/INFORMATION

The professional services entity shall guarantee any and all material and services supplied under these specifications. Defective or inferior items shall be replaced at the expense of the professional services entity.

It is understood by the professional services entity that this submission is provided on the basis of standardized submission requirements prepared by TOWN OF SECAUCUS and the fact that any professional services entity is not familiar with these standardized submission requirement or conditions will not be accepted as an excuse.

#### NO MINIMUM PAYMENT IS IMPLIED OR GUARANTEED.

THE TOWN OF SECAUCUS reserves the right to cancel any contract entered into upon thirty (30) days written notice.

Contract Term: Pursuant to N.J.S.A. 40A:11-3(b), ... "contracts for professional services pursuant to subparagraph (i) of paragraph (a) subsection (1) of section 5 of P.L. 1971, c.198 (N.J.S.A. 40A:11-5) may be awarded for a period not exceeding twelve (12) consecutive months."

This solicitation is for approximately one (1) year contract for services, from March 1, 2015 through December 31, 2015.

#### EXHIBIT A

# MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq.

#### GOODS, PROFESSIONAL SERVICES AND GENERAL SERVICE CONTRACTS

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex. Except with respect to affectional or sexual orientation, the contractor will take affirmative action to ensure that such applicants are recruited and employed, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this non-discrimination clause.

The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex.

The contractor or subcontractors, where applicable, will send to each labor union or representative or workers with which it has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the agency contracting officer advising the labor union or workers' representative of the contractor's commitments under this act and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor or subcontractor, where applicable, agrees to comply with the regulations promulgated by the Treasurer pursuant to <u>N.J.S.A.</u> <u>10:5-31</u> <u>et seq.</u>, as amended and supplemented from time to time and the Americans with Disabilities Act.

The contractor or subcontractor agrees to attempt in good faith to employ minority and female workers consistent with the applicable county employment goals established in accordance with **N.J.A.C.** 17:27-5.2, or a binding determination of the applicable county employment goals determined by the Division, pursuant to **N.J.A.C.** 17:27-5.2.

The contractor or subcontractor agrees to inform in writing its appropriate recruitment agencies

including, but not limited to, employment agencies, placement bureaus, colleges, universities, labor unions, that it does not discriminate on the basis of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, or sex, and that it will discontinue to use of any recruitment agency which engages in direct or indirect discriminatory practices.

The contractor or subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personnel testing conforms with the principles of job-related testing, as established by the statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.

The contractor shall submit to the public agency, after notification of award but prior to execution of a goods and services contract, one of the following three documents:

Letter of Federal Affirmative Action Plan Approval

Certificate of Employee Information Report

Employee Information Report Form AA302

The contractor and its subcontractor shall furnish such reports or other documents to the Division of Contract Compliance & EEO as may be requested by the Division from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Contract Compliance & EEO for conducting a compliance investigation pursuant to **Subchapter 10 of the Administrative Code at N.J.A.C. 17:27.** 

| Ву:           |  |  |
|---------------|--|--|
| Print Name:   |  |  |
| Title:        |  |  |
| Company Name: |  |  |
| Date:         |  |  |

## AMERICAN WITH DISABILITIES ACT OF 1990 EQUAL OPPORTUNITY FOR INDIVIDUALS WITH DISABILITY

The CONTRACTOR and the TOWN OF SECAUCUS (herein referred to as the "TOWN") does hereby agree that the provisions of Title 11 of the American with Disabilities Act of 1990 (the "Act") (42 U.S.C. S12101 et seq.), which prohibits discrimination on the basis of disability by entities in all services, programs and activities provided or made available by public entities, and the rules and regulations promulgated pursuant thereunto, are made a part of this contract. In providing any aid, benefit, or service on behalf of the TOWN pursuant to this contract, the CONTRACTOR agrees that the performance shall be in strict compliance with the Act. In the event that the CONTRACTOR, it agents, servants, employees, or subcontractors violate or are alleged to have violated the Act during the performance of this contract, the CONTRACTOR shall defend the TOWN in any action or administrative proceeding commenced pursuant to this Act. The CONTRACTOR shall indemnify, protect, and save harmless the TOWN, its agents, servants, and employees from and against any and all suits, claims, losses, demands, or damages of whatever kind or nature arising out of or claimed to arise out of the alleged violation. The CONTRACTOR shall, at its own expense, appear, defend, and pay any and all charges for legal services and any and all costs and other expenses arising from such action or administrative proceeding or incurred in connection therewith. In any and all complaints brought pursuant to the TOWN'S grievance procedure, the CONTRACTOR agrees to abide by any decision of the TOWN which is rendered pursuant to said grievance procedure. If any action or administrative proceeding results in an award of damages against the TOWN or if the TOWN incurs any expense to cure a violation of the ADA which has been brought pursuant to its grievance procedure, the CONTRACTOR shall satisfy and discharge the same at its own expense.

The TOWN shall, as soon as practicable after a claim has been made against it, give written notice thereof to the CONTRACTOR along with full and complete particulars of the claim. If any action or administrative proceeding is brought against the TOWN or any of its agents, servants, and employees, the TOWN shall expeditiously forward or have forwarded to the CONTRACTOR every demand, complaint, notice, summons, pleading, or other process received by the TOWN or its representatives.

It is expressly agreed and understood that any approval by the TOWN of the services provided by the CONTRACTOR pursuant to this contract will not relieve the CONTRACTOR of the obligation to comply with the Act and to defend, indemnify, protect, and save harmless the TOWN pursuant to this paragraph.

It is further agreed and understood that the TOWN assumes no obligation to indemnify or save harmless the CONTRACTOR, its agents, servants, employees and subcontractors for any claim which may arise out of their performance of the Agreement. Furthermore, the CONTRACTOR expressly understands and agrees that the provisions of this indemnification clause shall in no way limit the CONTRACTOR'S obligations assumed in this Agreement, nor shall they be construed to relieve the CONTRACTOR from any liability, nor preclude the TOWN

| from taking any otherwise at law. | other | actions | available | to i | t under | any | other | provisions | of this | Agreement | or |
|-----------------------------------|-------|---------|-----------|------|---------|-----|-------|------------|---------|-----------|----|
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# STANDARDIZED SUBMISSION REQUIREMENTS & SELECTION CRITERIA (FAIR & OPEN PUBLIC SOLICITATION PROCESS FOR PROFESSIONAL SERVICES)

The Town of Secaucus is seeking sealed submission in response to a Public Notice for the Solicitation of a Professional Service Contracts.

#### The standard submission requirements shall include:

- 1. Names and roles of the individuals who will perform the services/tasks and descriptions of their experience with projects similar to the services contained herein including their education, degrees and certifications.
- 2. References and record of success of same or similar service.
- 3. Description of ability to provide the services in a timely fashion (including staffing, familiarity and location of key staff).
- 4. Cost details, including the hourly rates of each of the individuals who will perform services and time estimates for each individual, all expenses and total cost of "not to exceed" amount.

#### The selection criteria to be used in awarding contracts shall include:

- 1. Qualifications of the individuals who will perform the services/tasks and the amounts of their respective participation.
- 2. Experience and references.
- 3. Ability to perform the services/tasks in a timely fashion, including staffing and familiarity with the subject matter.
- 4. Cost consideration including, but not limited to, historical costs for similar professional services, expertise involved and comparable costs for comparable public entities.

## Please Note this Additional Requirement:

Professional services entities shall submit one (1) original and two (2) additional sets of their sealed submission, on February 18, 2015.

## **CHECKLIST**

#### PROFESSIONAL SERVICE TITLE:

SUBMISSION DATE: February 18, 2015- Wednesday

The following items, as indicated below (X), shall be provided with the receipt of sealed submissions:

| 1. | Non-Collusion Affidavit   |
|----|---|
| 2. | Disclosure of Ownership Form  |
| 3. | Insurance Requirement Acknowledgment Form   |
| 4. | Mandatory Equal Employment Opportunity Notice Acknowledgment  |
| 5. | Copy of your <b>Business Registration Certificate</b> as issued by the State of New Jersey, Department of Treasury, Division of Revenue |
| 6. | Professional Service Entity Information Form  |
| 7. | Qualifications Submission   |
| 8. | Acknowledgment of Corrections, Additions or Deletions Form  |
| 9. | Pay-to-Play Compliance form   |
| 10 | Disclosure of Investment Activities in Iran Form  |

## Reminder

Please submit one (1) original and two (2) additional sets of the sealed submission.

# **NON-COLLUSION AFFIDAVIT**

| STATE OF NEW JERSEY :  |   |
|--|---|
| STATE OF NEW JERSEY : : SS. COUNTY OF :  |   |
| I,   | of the, in the County of  being duly sworn according to law on my oath depose   |
| and the State of New Jersey, of full age, t and say that:  | peing duly sworn according to law on my oath depose   |
| I am   |   |
| of the firm of   |   |
| executed the said submission with full author, directly or indirectly, entered into any taken any action in restraint of fair and of Service; and that all statements contained correct, and made with full knowledge the statements contained in said submission awarding the contract for said Service.  I further warrant that no person or selling | e submission for the above named Service, and that I nority to do so; that the Professional Service Entity has agreements, participated in any collusion, or otherwise pen competition in connection with the above named in said submission and in this affidavit are true and at the Town of Secaucus relies upon the truth of the and in the statements contained in this affidavit in g agency has been employed or retained to solicit or int or understanding for a commission, percentage, |
| Subscribed and sworn to before me this day of  | , 201   |
| Notary Public State of My Commission Expires   | (Signature of Professional)   |
| Triy Commission Expires  | (Type or print name of Affiant and Title under signature)   |

#### **DISCLOSURE OF OWNERSHIP FORM**

N.J.S.A. 52:25-24.2 reads in part that "no corporation or partnership shall be awarded any contract by the State, County, Municipality or School District, or any subsidiary or agency thereof, unless prior to the receipt of the submission of the corporation or partnership, there is provided to the public contracting unit a statement setting form the names and addresses of all individual who own 10% or more of the stock or interest in the corporation or partnership".

- 1. If the professional service entity is a *partnership*, then the statement shall set forth the names and addresses of all partners who own a 10% or greater interest in the partnership.
- 2. If the professional service entity is a *corporation*, then the statement shall set forth the names and addresses of all stockholders in the corporation who own 10% or more of its stock of any class.
- 3. If a corporation owns all or part of the stock of the corporation or partnership providing the submission, then the statement shall include a list of the stockholders who own 10% or more of the stock of any class of that corporation.
- 4. If the professional service entity is other than a corporation or partnership, the contractor shall indicate the form of corporate ownership as listed below.

#### **COMPLETE ONE OF THE FOLLOWING STATEMENTS:**

| 1.   | Stockholders of Partners owning 1 | 10% or more of the company providing the submission:              |             |
|------|-----------------------------------|---|-------------|
|      | Name:                             | ADDRESS:  |             |
|      | SIGNATUDE.                        | DATE.   |             |
|      | SIGNATURE,                        | DATE:   |             |
| II.  | No Stockholder or Partner owns 10 | 0% of more of the company providing this submission:              |             |
|      | SIGNATURE:                        | DATE:   |             |
| III. | Submission is being provided by a | an individual who operates as a sole proprietorship:              |             |
|      | SIGNATURE:                        | DATE:   |             |
| IV.  | Submission is being provided by a | a corporation or partnership that operates as a (check one of the | following): |
|      | Limited Partnership               | Limited Liability Corporation                                     |             |
|      | Limited Liability Partne          | nership Subchapter S Corporation                                  |             |
|      | SIGNATURE:                        | DATE:   |             |

# INSURANCE REQUIREMENTS AND ACKNOWLEDGMENT FORM

Certificate(s) of Insurance shall be filed with the Town Clerk's Office upon award of contract by the Mayor and Council.

The minimum amount of insurance to be carried by the Professional Service Entity shall be as follows:

| PROFESSIONAL LIABILITY INSURANCE                             |  |
|--|--|
| imits shall be a minimum of \$1,000,000.00 for each claim an | d \$1,000,000.00 aggregate each policy period. |
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| cknowledgment of Insurance Requirement:                      |  |
|  |  |
| (Signature)  | (Date)   |
| (Signature)  | (Dute)   |
|  |  |
|  |  |

(Printed Name and Title)

# MANDATORY EQUAL EMPLOYMENT OPPORTUNITY NOTICE (N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq.)

#### GOODS, PROFESSIONAL SERVICES AND GENERAL SERVICE CONTRACTS

This form is a summary of the successful professional service entity's requirement to comply with the requirements of N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq.

The successful professional service entity shall submit to the Town of Secaucus, after notification of award but prior to execution of this contract, one of the following three documents as forms of evidence:

(a) A photocopy of a valid letter that the vendor is operating under an existing Federally approved or sanctioned affirmative action program (good for one year from the date of the letter):

OR

(b) A photocopy of a Certificate of Employee Information Report approval, issued in accordance with N.J.A.C. 17:27-1.1 et seq.;

OR

(c) A photocopy of an Employee Information Report (Form AA302) provided by the Division of Contract Compliance and distributed to the Town of Secaucus to be completed by the vendor in accordance with N.J.A.C. 17:27-1.1 et seq.

The successful professional service entity may obtain the Employee Information Report (AA302) from the Town of Secaucus during normal business hours. Employee Information Report (AA302) or proof of filing same **must** be provided by successful vendor prior to being paid by the Town of Secaucus for any word preformed.

The undersigned professional service entity certifies that he/she is aware of the commitment to comply with the requirements of N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq. and agrees to furnish the required forms of evidence.

The undersigned professional service entity further understands that his/her submission shall be rejected as non-responsive if said professional service entity fails to comply with the requirements of N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq.

| COMPANY:   |             |
|------------|-------------|
| SIGNATURE: | PRINT NAME: |
| TITLE:     | _ DATE:     |

COMPANY

# PROFESSIONAL SERVICE ENTITY INFORMATION FORM

| Name:                                |   |       |
|--------------------------------------|---|-------|
| Address:                             |   |       |
| Telephone No.:                       | Social Security No.:                        |       |
| Fax No.:                             | E-Mail:                                     |       |
| If individual has a TRADE NAME, give | e such trade name:                          |       |
| Trading As:                          | Telephone No.:                              |       |
| **************                       | *****************                           | ***** |
| Ž                                    | ARTNERSHIP, give the following information: |       |
| Name of Partners:                    |   |       |
| Firm Name:                           |   |       |
| Address:                             |   |       |
| Telephone No.:                       | Federal I.D. No.:                           |       |
| Fax No.:                             | E-Mail:                                     |       |
| Social Security No.:                 |   |       |
| Signature of authorized agent.       |   |       |
| Signature of authorized agent.       |   |       |

| If the Professional Service Entity is I | NCORPORATED, give the following information: |  |
|---|--|--|
| State under whose laws incorporated     | :  |  |
| Location of principal office:           |  |  |
| Telephone No.:                          | Federal I.D. No.:                            |  |
| Fax No.:                                | E-Mail:                                      |  |
| Name of agent in charge of said office  | ee upon whom notice may be legally served:   |  |
| Talanhana Na                            | Name of Corneration:                         |  |
| Telephone No.:                          | Name of Corporation:                         |  |
| Signature:                              | By:  |  |
| Title:                                  | Address:                                     |  |

# **SUBMISSION FORM**

| 1. | Names and roles of the individuals who will perform the services and description of their education and experience with projects similar to the services contained herein including their education, degree and certifications: |
|----|---|
|    |   |
|    |   |
|    |   |
|    |   |
|    |   |
|    |   |
| 2. | References and record of success of same or similar service:  |
|    |   |
|    |   |
|    |   |
|    |   |
|    |   |
|    |   |

| 3.   | Description of ability to provi<br>familiarity and location of key | de the services in a timely fashion (including staffing, staff): |
|------|--|--|
|      |  |  |
|      |  |  |
|      |  |  |
|      |  |  |
|      |  |  |
|      |  |  |
|      |  |  |
|      |  |  |
| 4.   | Cost details, including the hor                                    | urly rates of each of the individuals who will perform           |
|      | services and all expenses:   |  |
|      |  |  |
|      |  |  |
|      |  |  |
|      |  |  |
|      |  |  |
|      |  | ·  |
|      |  |  |
|      |  |  |
|      |  |  |
|      |  |  |
| Note | : Attach additional sheets as necess                               | cary.  |
| Firm |  | Date:  |
|      |  |  |
| Sign | ature:   | Title:   |
|      | phone No.:   |  |

#### ACKNOWLEDGMENT OF SECAUCUS PAY TO PLAY ORDINANCE

Chapter 26 of the Secaucus Code addresses "Pay to Play" reforms in the Town of Secaucus. The undersigned acknowledges that he/she has read and understands the ordinance. Moreover, the undersigned represents that he/she, his/her firm, spouse and child living at home has not (and will not) solicited or made any contributions of money, pledge of contribution, including inkind contributions in excess of the allowable limits within two (2) calendar years immediately preceding the date of the contract or agreement or the effective date of Chapter 26, whichever is shorter, to: (i) any municipal candidate or holder of public office having ultimate responsibility for the award of a contract, or (ii) to any Town of Secaucus party committee, or (iii) to any candidate committee, political action committee (PAC) or continuing political committee (CPC) that engages in, or whose primary purposes is the support of Secaucus municipal elections and/or Secaucus municipal parties, between the time of first communication between that professional business entity or vendor and the municipality regarding a specific professional services agreement or goods and services agreement, as the case may be, and the later of the termination of negotiations or rejection of any proposal, or the completion of the contract or agreement.

I also understand that for any contract awarded in excess of \$50,000, the New Jersey Election Law Enforcement Commission (ELEC) requires the completion of "Form BE" to be filled annually with the Commission.

| Subscribed | l and sworn to before me |  |
|------------|--------------------------|--|
| this       | day of                   | , 201                                    |
|            |                          |  |
|            |                          |  |
|            |                          |  |
| Notary Pul | olic                     | (Signature of Professional)              |
| State of   |                          |  |
| My Comm    | ission Expires           |  |
| •          | •                        | (Type or print name of Affiant and Title |
|            |                          | under signature)                         |



# State of New Jersey & town or secaucus

Curis Christie Governor

Kim Guadagno Li. Governor DEPARTMENT OF THE TREASURY
DIVISION OF PURCHASE AND PROPERTY
OFFICE OF THE DIRECTOR
33 WEST STATE STREET
P. O. BOX 039
TRENTON, NEW JERSEY 08625-0039

ANDREW P. SIDAMON-BRISTOFF
State Treasurer

JIGNASA DESAI-MCCLEARY Director

Telephone (609) 292-48867 Facsimile (609) 984-2575

The following list represents entities determined, based on credible information available to the public, to be engaged in prohibited activities in Iran pursuant to P.L. 2012, c. 25 ("Chapter 25"):

| -  |                 |   |
|----|-----------------|---|
|    | 1.              | Bank Markazi Iran (Central Bank of Iran)                            |
| Į  | 2.              | Bank Mellal   |
|    | 3.              | Bank Melli Iran   |
|    | 4.              | Bank Tejarat  |
| Į, | 5.              | National Iranian Tanker Company (NFTC)                              |
| Ì  | 6.              | Sameh Afzar Tajak Company (SATCO)                                   |
|    | . 7.            | Ainona  |
| .[ | 8.              | Bank Saderat PLC  |
| ļ  | 9.              | Bank Sepah  |
| ļ  | '0,             | Belaz   |
| ļ  | 11,             | Celnefikhim (Belarusnett)   |
|    | 12.             | Bharat Petroleum Corporation Ltd.                                   |
| ļ  | . 13.           | China International United Petroleum & Chemicals Co., Ltd. (Unipec) |
| 1  | 4.              | China National Offshore Oil Corporation (CNOOC)                     |
| ļ  | <sup>4</sup> 5. | China National Petroleum Corporation (CNPC)                         |
|    | 16.             | China National United Oil Corporation (ChineOil)                    |
|    | 17.             | China Petroleum & Chemical Corporation (Sinopec)                    |
|    | 18.             | China Precision Machinery Import-Export Corp. (CPMIEC)              |
|    | 19.             | Emirates National Oli Company                                       |
|    | 20.             | Grimley Smith Associates  |
|    | 21.             | Indian Oil Corporation  |

| 22. | Industrija Nafte (INA)                           |
|-----|--|
| 23, | Kingdream PLC                                    |
| 24. | Liquified Natural Gas Limited                    |
| 25. | Maire Tecnimont SpA                              |
| 26  | Natiren Intratrade Company (NICO)                |
| 27. | Oil and Natural Gas Corporation (ONGC)           |
| 28. | Oli India Limited                                |
| 29. | Panyu Chu Kong Steel Pice Company, Ltd.          |
| 30. | Persia International Bank                        |
| 31. | PetroChina Company, Ltd.                         |
| 32. | Petroleos de Venezuela (PDVSA Petroleo, SA)      |
| 33. | Schwing America Inc.                             |
| 34. | Shandong FIN CNC Machine Company, Ltd.           |
| 35. | Shanghai Sunry Petroleum Equipment Company, Lld. |
| 36. | Sinohydro  |
| 37. | SK Energy  |
| 38. | SKS Ventures                                     |
| 39. | Som Petrol AS                                    |
| 40. | Sonangol   |
| 41. | Zhuhai Zhenrong Company                          |

List Date: January 28, 2013

# State of New Jersey & TOWN OF SECAUCHS Division of Purchase and Property DISCLOSURE OF INVESTMENT ACTIVITIES IN IRAN

|  | Bidder/Offeror:  |  |
|--|--|--|
| renew a contract must complete the certificate person or entity's parents, subsidiaries the Preasury as a person or entity engage violation of the principles which are the su   | person or entity that submits a bid or proposal or otherwise proposes to effication below to attest, under penalty of parjury, that the person or entity is, or affiliates, is not identified on a list created and maintained by the Deging In investment activities in Iran. If the Director finds a person or an ubject of this law, s/he shall take action as may be appropriate and provide to, imposing sanctions, seeking compliance, recovering damages, der suspension of the person or entity.   | y, or one of partment of tity to be in ided by law                                 |
| I certify, pursuant to Public Law 2012,  | c. 25, that the person or entity listed above for which I am authorized to bid   | Vrenew:  |
| is not providing goods or services of provides oil or liquefied natural gas liquefied natural gas, for the energy  | of \$20,000,000 or more in the energy sector of Iran, including a person of<br>tankers, or products used to construct or maintain pipelines used to train<br>sector of Iran, AND   | or entity that<br>nsport oil or  |
| Is not a financial institution that extending that person or entity will use the c   | ends \$20,000,000 or more in credit to another person or entity, for 45 de<br>redit to provide goods or services in the energy sector in Iran.   | ays or more,   |
| subsidiaries, or affiliates has engag<br>description of the activities must be pr  | Is unable to make the above certification because it or one of a<br>ged in the above-referenced activities, a detailed, accurate an<br>rovided in part 2 below to the Division of Purchase and Property un<br>ill result in the proposal being rendered as non-responsive and a<br>e assessed as provided by law.  | nd precise<br>der penalty  |
| subsidiaries of affiliates, engeging   | and precise description of the activities of the bidding person/entity, or one of its p<br>in the investment activities in Iran outlined above by completing the boxes below   | w  |
| EACH BOX WILL PROMPT YOU TO PE<br>THOROUGH ANSWERS TO EACH QUESTI  | ROVIDE INFORMATION RELATIVE TO THE ABOVE QUESTIONS, PLEASE P<br>ION. IF YOU NEED TO MAKE ADDITIONAL ENTRIES, CLICK THE "ADD AN A<br>ACTIVITIES ENTRY" BUTTON.  | ROVIDE<br>ADDITIONAL   |
| THOROUGH ANSWERS TO EACH QUEST!  | ION. IF YOU NEED TO MAKE ADDITIONAL ENTRIES, CLICK THE "ADD AN J   | ROVIDE<br>ADDITIONAL   |
| THOROUGH ANSWERS TO EACH QUEST!  | ION. IF YOU NEED TO MAKE ADDITIONAL ENTRIES, CLICK THE "ADD AN ACTIVITIES ENTRY" BUTTON.   | ROVIDE<br>ADDITIONAL   |
| THOROUGH ANSWERS TO EACH QUEST!  Name  Description of Activities   | ION. IF YOU NEED TO MAKE ADDITIONAL ENTRIES, CLICK THE "ADD AN ACTIVITIES ENTRY" BUTTON.  Relationship to Bidder/Offeror   | ROVIDE<br>ADDITIONAL   |
| Name  Description of Activities  Duration of Engagement  | ION. IF YOU NEED TO MAKE ADDITIONAL ENTRIES, CLICK THE "ADD AN ACTIVITIES ENTRY" BUTTON.   | ROVIDE<br>ADDITIONAL   |
| THOROUGH ANSWERS TO EACH QUEST!  Name  Description of Activities   | ION. IF YOU NEED TO MAKE ADDITIONAL ENTRIES, CLICK THE "ADD AN ACTIVITIES ENTRY" BUTTON.  Relationship to Bidder/Offeror   | ROVIDE<br>ADDITIONAL   |
| Name Description of Activities  Duration of Engagement  Birkler/Offeror Contact Name   | ION. IF YOU NEED TO MAKE ADDITIONAL ENTRIES, CLICK THE "ADD AN ACTIVITIES ENTRY" BUTTON.  Relationship to Bidder/Offeror  Anticipated Cessation Date  Contact Phone Number   | ROVIDE<br>ADDITIONAL   |
| Name  Description of Activities  Duration of Engagement  Birder/Offeror Contact Name   | ION. IF YOU NEED TO MAKE ADDITIONAL ENTRIES, CLICK THE "ADD AN ACTIVITIES ENTRY" BUTTON.  Relationship to Bidder/Offeror  Anticipated Cassation Date  Contact Phone Number   | ADDITIONAL   |
| Name  Description of Activities  Duration of Engagement  Birder/Offeror Contact Name  ertification: I, being duly sworn upon my eatherst of my knowledge are true and complete, arson or entity. I acknowledge that the State in under a continuing obligation from the date writing of any changes to the answers of Infelse statement or misrepresentation in this of  | Activities entry" Button.  Relationship to Bidder/Offeror  Anticipated Cessation Date  Contact Phone Number  Contact Phone Number  Contact Phone Number  is attost that 1 am authorized to execute this certification on behalf of the all of New Jersey is relying on the information contained herein and thereby acker of this certification that it is a contained herein. I acknowledge that I am authorized to execute this certification of the side to be a contained herein. I acknowledge that I am authorize that it is a criminal prosecution of any screen and it is not primated to of the State of New Jersey and that the State of the of the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of New Jersey and that the State of New Jersey and the state of New Jersey | Is thereto to the bove-references (nowledge that notify the State flense to make a |
| Name  Description of Activities  Duration of Engagement  Bidder/Offeror Contact Name  ertification: I, being duly sworn upon my bath set of my knowledge true and complete, aron or entity. I acknowledge that the State in under a continuing obligation from the date writing of any changes to the enswers of infiles statement or misrepresentation in this clad that it will also consilitate a material breach | Activities entry" Button.  Relationship to Bidder/Offeror  Anticipated Cessation Date  Contact Phone Number  Contact Phone Number  Contact Phone Number  is attost that 1 am authorized to execute this certification on behalf of the all of New Jersey is relying on the information contained herein and thereby acker of this certification that it is a contained herein. I acknowledge that I am authorized to execute this certification of the side to be a contained herein. I acknowledge that I am authorize that it is a criminal prosecution of any screen and it is not primated to of the State of New Jersey and that the State of the of the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of the state of New Jersey and that the State of New Jersey and that the State of New Jersey and the state of New Jersey | Is thereto to the bove-references (nowledge that notify the State flense to make a |

(Fev. December 2011) Department of the Treasury Internal Revenue Service

## Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

|   | 7-  |  |                |
|---|---|--|----------------|
| Print or Lyne<br>Specific Instructions on page 2. | Nome (as shown on your income tax return)   |  |                |
|   | Business name/disregarded entity name, if different from acove  |  |                |
|   | TOUNDE SECOUCUS   | ,  |                |
|   | Check appropriate box for federal tox classification:   |  |                |
|   | L Individual/sole proprietor C Corporation S Corporation Partnership Truste   | Étate  |                |
|   | Limited liability company. Enter the tax classification (C=C corporation, S=8 corporation, P=partnership)   | Exempt   | payea          |
|   | Dother (see instructions) > MUNICIPAL GOVERNOOS   | Section of the sectio |                |
| cit   | Aftdress (number, street, and apt. or suite no.)  | ester's name and address (optionsi)  |                |
| Š   | City, state, and ZIP code   |  |                |
| See   | Decaucus NJ 07094   |  |                |
|   | List account number(s) here (optional)  |  | ····           |
|   |   |  |                |
| Par   |   |  |                |
| Enter y   | rour TIN in the appropriate box. The TIN provided must match the name given on the "Name" line<br>d backup withholding. For individuals, this is your social security number (SSN), However, for a  | Social security number   |                |
| 'eside:   | % Sligh, SCIC probletor, or digregarded entity see the Part Linstructions on page 3. For other  |  | $\top$         |
| ərtitle   | s it is your comployer identification number (EIN). If you do not have a number, see How to get a page 3.   |  |                |
| Note.   | If the account is in more than one name, see the chart on page 4 for guidelines on whose  | Employer identification number   | $\neg$         |
| numbe   | r to enter.   | 02-60209   | 7              |
| Par   | L Certification   |  |                |
|   | penalties of perjury, I certify that:   |  |                |
| 1. The  | number shown on this form is my correct texpeyer identification number (or I am waiting for a num   | nbar to be issued to me), and  |                |
|   | n not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) They<br>wide (IRS) that I am subject to backup withholding as a result of a fait, je to report all interest or divi<br>onger subject to backup withholding; and  | e not been notified by the internal Reve<br>dends, or (c) the IRS has notified me tha  | nue<br>et l'am |
| 3. I an   | a U.S. citizen or other U.S. person (defined below).  |  |                |
| Certifi<br>becaus<br>interes<br>genera            | cation instructions. You must cross out item 2 above if you have been notified by the IRS that you<br>as you have failed to report all interest and dividends on your tax return. For real estate transactions<br>tipaid, acquisition or abandonment of secured property, cancellation of debt, contributions to an in<br>life, payments other than interest and dividends, you are not required to sign the certification, but you<br>don't on page 4. | s, item 2 does not apply. For mortgage   |                |
| Sign  | cora di page 4.   |  |                |
| Here  | Signature of Junara Darger, apa Date >  |  |                |
| Gen   | eral Instructions Note. If a requester gives  | ou a form other than Form W-9 to requ  | 65;            |

Section references are to the Internal Revenue Code unless otherwise

### Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIV) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 crity 1 you are a U.S. person finduding a resident alian), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- 1. Certify that the T N you are giving is correct (or you are waiting for a number to be issued).
- a. Certify that you are not subject to backup withholding, or
- 5. Glaim exemption from backup will holding if you are a U.S. exempt payes. It applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

use the requester's form it it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax ourposes, you are considered a U.S. person If you are:

- An individual who is a U.S. citizen or U.S. resident alien.
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the with folding tex. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

# ACKNOWLEDGMENT OF CORRECTIONS, ADDITIONS AND DELETIONS FORM

| I,                            |  |
|-------------------------------|--|
| of the firm                   | · · · · · · · · · · · · · · · · · · ·                      |
| hereby acknowledge that ar    | ny corrections, additions and/or deletions have been       |
| initialed and dated in this S | ubmission Package.   |
|                               |  |
|                               | (Signature)  |
|                               | (Type or print name of Affined and Title, under signature) |
|                               | (Date)   |

**END OF SUBMISSION PACKAGE**